

REMARKS/ARGUMENT

Upon review of the application, errors have been discovered on page 8 of the specification, and in claims 66 and 153. With respect to the former, the Brief Description of the Drawings refers to Figs. 18A-18D, when in fact there are no Figs. 18C and 18D. The errors in the claims are typographical. These errors are corrected herein.

Favorable consideration of the application as amended is respectfully solicited.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on April 18, 2001.

Lawrence A Hoffman

Name of applicant, assignee or
Registered Representative

Lawrence A Hoffman

Signature

April 18, 2001

Date of Signature

LAH:sak/ts

Respectfully submitted,

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APPENDIX A
"CLEAN" VERSION OF EACH PARAGRAPH/SECTION/CLAIM
37 C.F.R. § 1.121(b)(ii) AND (c)(i)

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SPECIFICATION:

Replacement for the paragraph beginning at page 8, line 1:

FIGS. 18A through 18B illustrate examples of screens from which the user can initiate and manage the restatement process for a particular waterfall distribution.

CLAIMS (with indication of amended or new):

66. The method described in claim 1, wherein the step of recording set up information includes:

allowing a user to select pertinent information concerning a particular securitization for entry in fields on a plurality of data entry screens from lists stored in at least one database record;

automatically filling in data in additional fields based on a selection made for at least one other field; and

allowing the user to create records for new information not contained in an existing database record by entering data in the fields of at least one data entry screen for that record.

153. A workflow management system for handling a plurality of complex multiple step projects comprising:

an electronic workflow management database which stores project setup information, including organizational information concerning the projects, and the sequence of workflow required to execute the project;

a first data processing software module which receives raw data required to execute the project from at least one outside source, and processes the raw data;

a workflow management software module;

a first interface which receives the processed raw data from the first data processing software module, and transmits the data electronically to the workflow management software module;

a computer display generated by the workflow management software module, which displays workflow status information concerning the projects, provides prompts to a user as to work

which is to be done with respect thereto, and which includes at least one active element from which the user may initiate actions with respect to the work;

a second data processing software module which receives the processed raw data, and responds to commands from the workflow management software module to perform computations using the processed raw data;

a second interface; and

a third data processing software module which receives data through the second interface, and responds to commands from the workflow management software module to processes the received data.

APPENDIX B

VERSION WITH MARKINGS TO SHOW CHANGES MADE

37 C.F.R. § 1.121(b)(iii) AND (c)(ii)

SPECIFICATION:

Paragraph beginning at page 8, line 1:

NE
FIGS. 18A through [18D] 18B illustrate examples of screens from which the user can initiate and manage the restatement process for a particular waterfall distribution.

CLAIMS:

66. The method described in claim 1, wherein the [stepup] step of recording set up information includes:

allowing a user to select pertinent information concerning a particular securitization for entry in fields on a plurality of data entry screens from lists stored in at least one database record;

BI
automatically filling in data in additional fields based on a selection made for at least one other field; and

allowing the user to create records for new information not contained in an existing database record by entering data in the fields of at least one data entry screen for that record.

153. A workflow management system for handling a plurality of complex multiple step projects comprising:

BI
an electronic workflow management database which stores project setup information, including organizational information concerning the projects, and the sequence of workflow required to execute the project;

a first data processing software module which receives raw data required to execute the project from at least one outside source, and processes the raw data;

a workflow management software module;

[an] a first interface which receives the processed raw data from the first data processing software module, and transmits the data electronically to the workflow management software module;

a computer display generated by the workflow management software module, which displays workflow status information concerning the projects, provides prompts to a user as to work which is to be done with respect thereto, and which includes at least one active element from which the user may initiate actions with respect to the work;

a second data processing software module which receives the processed raw data, and responds to commands from the workflow management software module to perform computations using the processed raw data;

a second interface; and

a third data processing software module which receives data through the second interface, and responds to commands from the workflow management software module to processes the received data.
